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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
*Submit an original, and a duplicate for fee processing*  
[Total Pages 105]

2.  Specification  
*(preferred arrangement set forth below)*  
-Descriptive title of the Invention  
-Cross Reference to Related Applications  
-Statement Regarding Fed sponsored R&D  
-Reference to Microfiche Appendix  
-Background of the Invention  
-Brief Summary of the Invention  
-Brief Description of the Drawings (*if filed*)  
-Detailed Description of the Invention (including drawings, *if filed*)  
-Claim(s)  
-Abstract of the Disclosure  
3.  Drawing(s) (35 USC 113)  
[Total Sheets 8]

4.  Oath or Declaration (unexecuted)  
[Total Sheets 2]  
a.  Newly executed (original or copy)  
b.  Copy from a prior application (37 CFR 1.63(d))  
*(for continuation/divisional with Box 17 completed)*  
**[Note Box 5 below]**  
i.  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).*

5.  Incorporation By Reference *(useable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  
 Continuation     Divisional     Continuation-in-part (CIP)    of prior application No: filed.

**18. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	20583 <i>(Insert Customer No. or Attach bar code label here)</i>	or <input type="checkbox"/> Correspondence address below		
NAME				
ADDRESS				
CITY	STATE		ZIP CODE	
COUNTRY	TELEPHONE		FAX	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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## PENNIE &amp; EDMONDS LLP

COUNSELLORS AT LAW  
1155 Avenue of the Americas  
New York, N.Y. 10036-2711  
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ATTORNEY DOCKET NO. 10436-0009-999

Date: January 8, 2001

Assistant Commissioner for Patents  
Box PATENT APPLICATION  
Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Douglas Levinson *et al.*

Executed on: Unexecuted

Title of Invention: HIGH-THROUGHPUT FORMATION, IDENTIFICATION, AND ANALYSIS OF DIVERSE SOLID FORMS

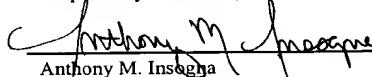
## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	Fee
Total Claims	169	-20	149	\$18.00 each	\$ 2,682.00
Independent	12	-3	9	\$80.00 each	\$ 720.00
				Minimum Fee	\$ 710.00
				Multiple Dependency Fee If Applicable (\$270.00)	\$ 0.00
				Total	\$ 4,112.00
				50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern (a verified statement as to the applicant's status is attached)	
				Total Filing Fee	\$ 2,056.00

Priority of provisional application nos. 60/221,539; 60/196,821; and 60/175,047 filed on July 28, 2000; April 13, 2000; and January 07, 2000, respectively, in the United States is claimed under 35 U.S.C. § 119.  
 The certified copy of the priority application has been filed in application no. filed  
 Amend the specification by inserting before the first line the following sentence: This is a continuation-in-part of application no. filed.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

  
 Anthony M. Insogna  
 PENNIE & EDMONDS LLP
 35,203  
(Reg. No.)

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.

Express Mail No.: EL 501 636 477 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Levinson *et al.*

Application No.: To be assigned

Group Art Unit: To be assigned

Filed: January 08, 2000

Examiner: To be assigned

For: HIGH-THROUGHPUT FORMATION,  
IDENTIFICATION, AND ANALYSIS  
OF DIVERSE SOLID-FORMS

Attorney Docket No.: 10436-0009-999

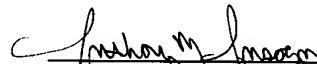
**REQUEST TO ESTABLISH SMALL ENTITY STATUS**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

It is respectfully requested that the above-identified application, submitted herewith, be accorded small-entity status. TransForm Pharmaceuticals, Inc., the assignee of the application, meets the requirements for small-entity status recited in 37 CFR § 1.27(a).

Respectfully submitted,

Date January 8, 2001

  
\_\_\_\_\_  
Anthony M. Insogna (Reg. No. 35,203)

PENNIE & EDMONDS LLP  
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(212) 790-9090

Enclosure